THE COLLABORATIVE PRACTICE MODEL FOR FAMILY RECOVERY, SAFETY AND STABILITY

September 15, 2011

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Frequently Cited Barriers

- Differences in values and perceptions of primary client
- Timing differences in service systems
- Knowledge gaps among staff working in the systems
- Lack of tools for effective engagement in services
- Intervention and prevention needs of children
- Lack of effective communication
- Data and information gaps
- Categorical and rigid funding streams as well as services and treatment gaps

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Suggested Strategies

- Develop principles for working together
- Create on-going dialogues and efficient communication
- Develop cross-training opportunities
- Improve screening, assessment and monitoring practice and protocols
- Develop funding strategies to improve timely treatment access
- Expand prevention services to children
- Develop improved cross-system data collection

Navigating the Pathways
Published by SAMHSA/CSAT

- A framework for defining elements of collaboration
  - To define linkage points across systems: where are the most important bridges we need to build?

- Methods to assess effectiveness of collaborative work
  - To assess differing values
  - To assist sites in measuring their implementation

Collaborative Practice and Policy Tools

- **Ten Element Framework** – A method to organize collaborative activities in specific practice and policy areas

- **Collaborative Values Inventory** – An anonymous way to explore values and beliefs to facilitate the development of common principles using web-based data collection

- **Collaborative Capacity Instrument** – An anonymous way to assess the strengths and challenges in each of the areas of system linkages using web-based data collection

- **Matrix of Progress in System Linkages** – A practice-based approach that specifies characteristics of advance collaboration practice in the elements of system linkages

- **Screening and Assessment for Family Engagement, Retention and Recovery — SAFERR** -- A guidebook to develop effective communication across systems while engaging families in services

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Ten Element Framework Elements of System Linkages

Focus on Your Mission
Underlying Values and Priorities

Provide Specialized Services
Screening and Assessment
Engagement and Retention
Services for Children
Community and Family Support

Connect Systems for Collaboration
Information Systems
Training and System Tools
Budget and Sustainability
Working with Other Agencies

Achieve Positive Outcomes for Children and Families
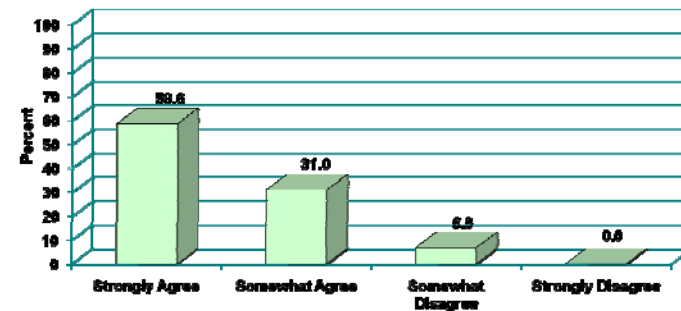Shared Outcomes and Joint Accountability

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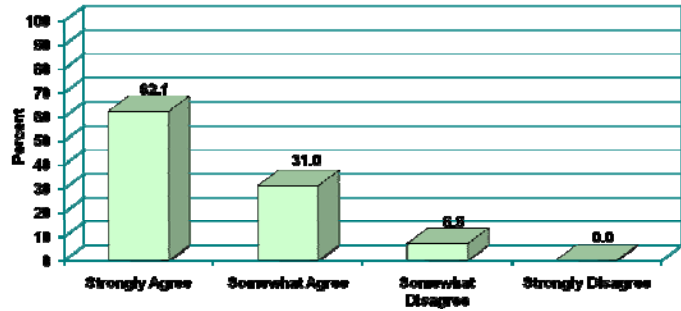## Focus on Your Mission

underlying values and priorities

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5. People who abuse alcohol and other drugs have a disease for which they need treatment.
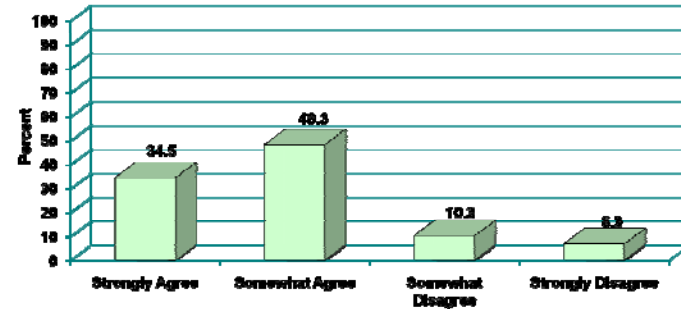

N=28

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Slide 9

7. People who abuse alcohol and other drugs should be held fully responsible for their own actions.

Bar chart (Percent):
- Strongly Agree: 62.1
- Somewhat Agree: 31.0
- Somewhat Disagree: 6.9
- Strongly Disagree: 0.0

N=29

Slide 10

8. There is no way that a parent who abuses alcohol or other drugs can be an effective parent.

Bar chart (Percent):
- Strongly Agree: 34.5
- Somewhat Agree: 48.3
- Somewhat Disagree: 10.3
- Strongly Disagree: 6.9

N=29

Slide 11

11. The standard we should use for deciding when to remove or reunify children with their parents is whether the parents are fully abstaining from the use of alcohol or other drugs.

Bar chart (Percent):
- Strongly Agree: 34.5
- Somewhat Agree: 41.4
- Somewhat Disagree: 20.7
- Strongly Disagree: 3.4

N=29

Slide 12
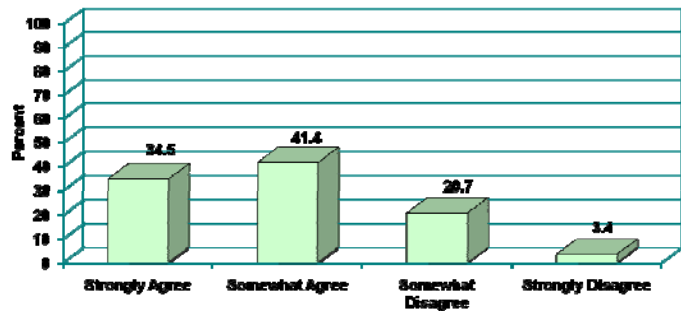
Mission, Underlying Values and Principles of Collaboration

- Developing a joint mission statement
- Organizations and Statewide Systems that Have Developed an Effective Joint Mission Statement
  - *Synthesis of Cross System Values and Principles: A National Perspective*
  - Massachusetts
  - Arizona

Mission, Underlying Values and Principles of Collaboration

- Collaborative Values Inventory

- Collaborative Capacity Instrument

- Matrix of Progress

- *Facilitating Cross-System Collaboration: A Primer on Child Welfare, Alcohol and Other Drug and Court Services*

- *Navigating the Pathways* (TAP 27)

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Provide Specialized Services

screening and assessment

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Screening and Assessment

- For the purpose of Engagement and Retention
  - Does a family have a substance use or child abuse or neglect issue? If so, how urgent is the issue?
  - What is the extent and immediacy of the substance use or child abuse or neglect issues?
  - How do the systems need to respond to the substance use or child abuse or neglect issue? Is the family ready to make a transition in the case plan and what does the family need after discharge from treatment and during reunification?

It's the team, not the tool

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Screening and Assessment

- Organizations and Statewide Systems that Have Developed Effective Joint Screening and Assessment Procedures
  - Statewide Implementation of UNCOPE Universal Screen
  - Statewide screening for co-occurring disorders
  - New Jersey Child Protection Substance Abuse Initiative

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Provide Specialized Services

engagement and retention

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Engagement and Retention in Care

- ◉ Ensure treatment and recovery success by:
  - ▪ Understanding, changing, and measuring the cross-system processes for referrals, engagement, and retention in treatment.

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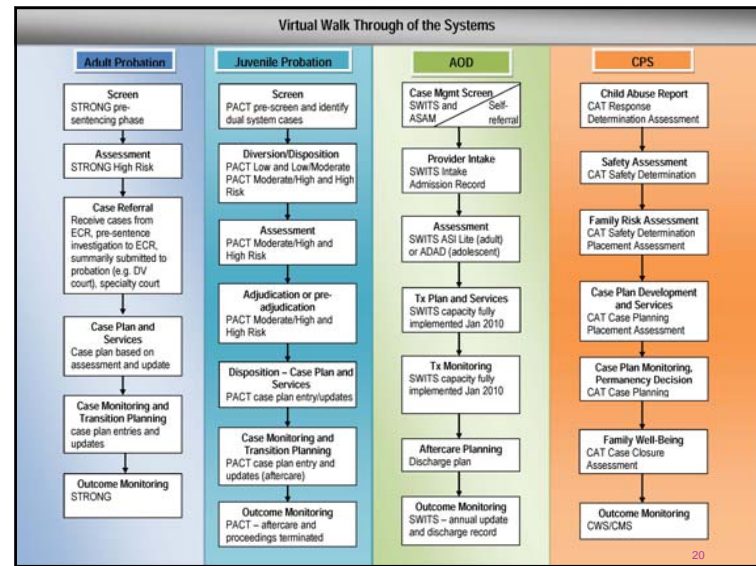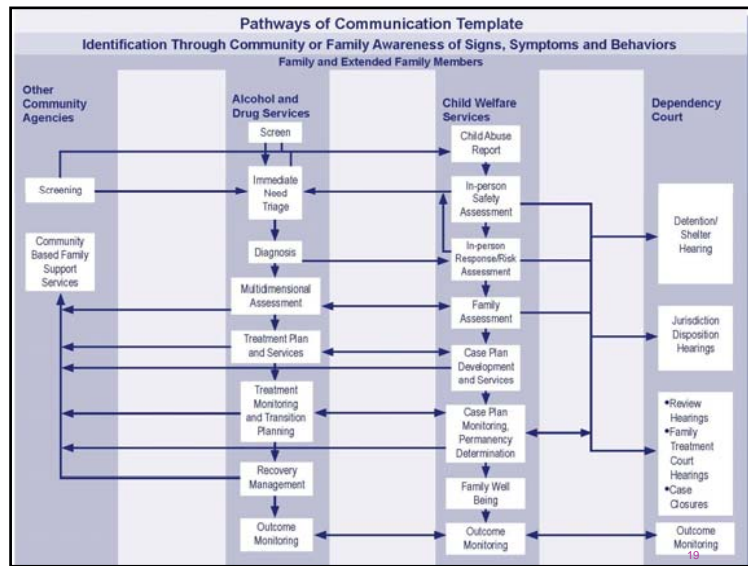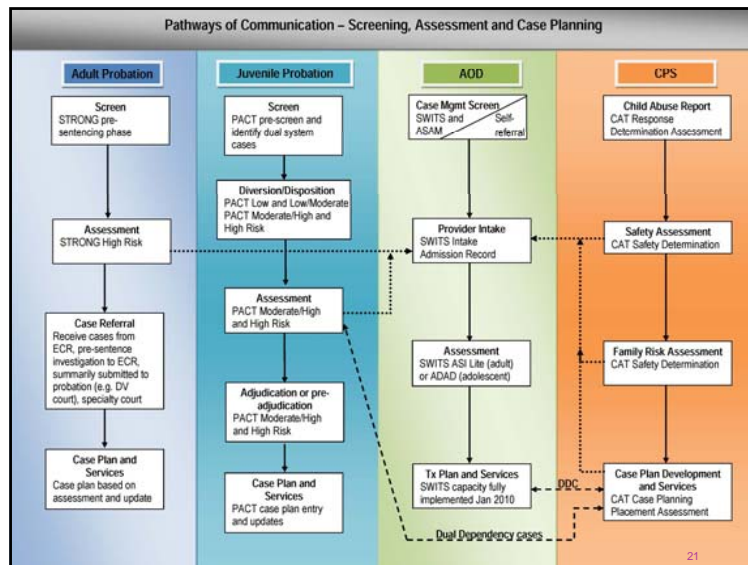
Pathways of Communication Template

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Virtual Walk Through of the Systems

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Pathways of Communication – Screening, Assessment and Case Planning

Engagement and Retention in Care

- Ensure treatment and recovery success by:
  - Understanding, changing, and measuring the cross-system processes for referrals, engagement, and retention in treatment.
  - Recruiting and training staff who specialize in outreach and motivational approaches and who monitor processes of recovery and aftercare.
  - Jointly monitoring family progress through a combination of case management, counseling, testing, and family support programs.

Drop-off Analysis

1,000 Children – 750 Parents

60% of Parents Need Assessment
450

50% Go for Assessment
225

80% Need Treatment
180

50% Go to First Session
90

30% Complete
90 Days - 30

50%
Reunify - 15
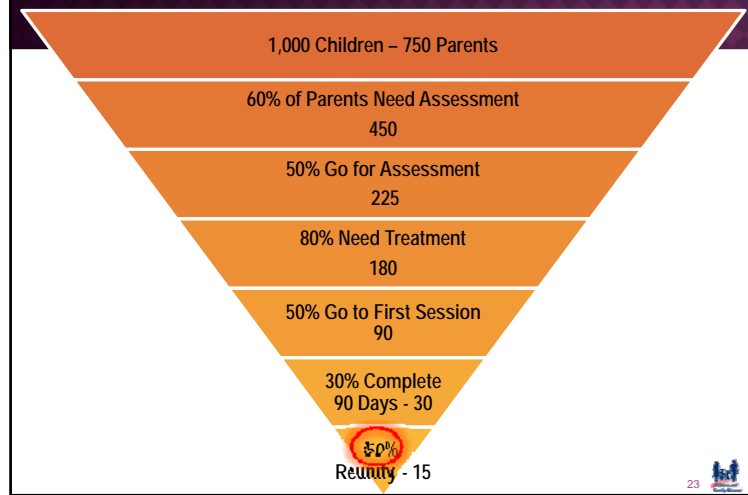
Engagement and Retention in Care

- Organizations and Statewide Systems that Have Developed Effective Joint Engagement and Retention Interventions
  - Parent Partner Programs (Arizona and Upper Des Moines)
  - Specialized Treatment and Recovery Services, Sacramento County, California
  - Engaging Moms Program, Family Drug Court, Miami, Florida

Screening, Assessment, Engagement and Retention in Care

- *Screening and Assessment for Family Engagement, Retention and Recovery (SAFERR)*
- *Substance Abuse Specialists in Child Welfare Agencies and Dependency Courts: Considerations for Program Designers and Evaluators*
- *Drug Testing in Child Welfare: Practice and Policy Considerations*
- Drop-off Analysis and Process Improvement
- Contingency Management

Provide Specialized Services

services for children

Children Living with One or More Substance-Dependent Parent

Category	Value
Used Illicit Drug in Past Year	10.6
Used Illicit Drug in Past Month	8.4
Dependent on Alcohol and/or Needs Treatment for Illicit Drugs	8.3
Dependent on AOD	7.5
Dependent on Alcohol	6.2
Dependent on Illicit Drugs	2.8
Need Treatment for Illicit Drug Abuse	4.5
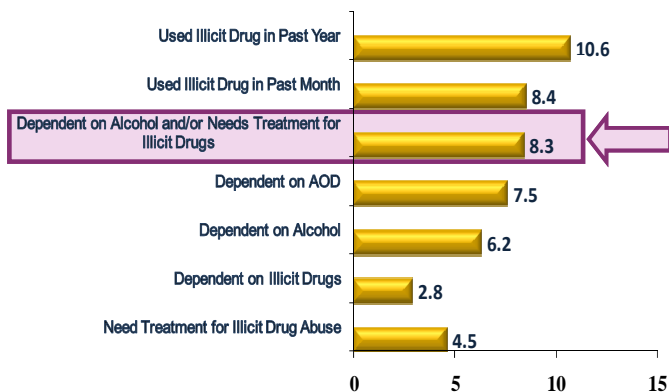
Numbers indicate millions

Use During Pregnancy

SAMHSA, Office of Applied Studies, National Survey on Drug Use and Health, 2007-2008 Annual Average

Substance Used (Past Month)	1st Trimester	2nd Trimester	3rd Trimester
Any Illicit Drug	7.2%	5.0%	2.8%
Alcohol Use	20.7%	7.8%	3.5%
Binge Alcohol Use	10.3%	1.9%	1.3%
Cigarettes	23.7%	12.9%	13.7%

Policy and Practice Framework: Five Points of Intervention

1. Pre-pregnancy awareness of substance use effects

2. Prenatal screening and assessment

Initiate enhanced prenatal services

3. Identification at Birth

Child

Parent

4. Ensure infant's safety and respond to infant's needs

System Linkages

Respond to parents' needs

5. Identify and respond to the needs of
- Infant • Preschooler
- Child • Adolescent

System Linkages

Identify and respond to parents' needs

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Services to Children

- Organizations and Statewide Systems that Have Developed Effective Services to Children of Parents with Substance Use Disorders
  - Project FEAT (Oregon)
  - Washington State
  - 4 Ps Plus
  - Linda Ray Intervention Center
  - Celebrating Families

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Services to Children

- *Substance Exposed Infants: State Responses to the Problem*
- *Therapeutic Services for Children: A Review of the Responses to the SAPT Block Grant Application*
- SAFERR Appendix on screening and assessment tools for children and youth
- NACOA Celebrating Families!
- Strengthening Families Program

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Provide Specialized Services

community and family support

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CONTINUUM OF FAMILY-BASED SERVICES

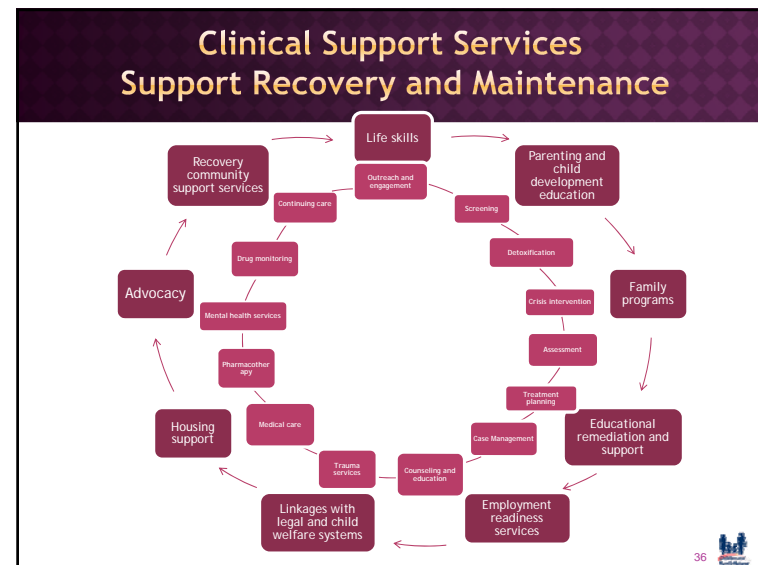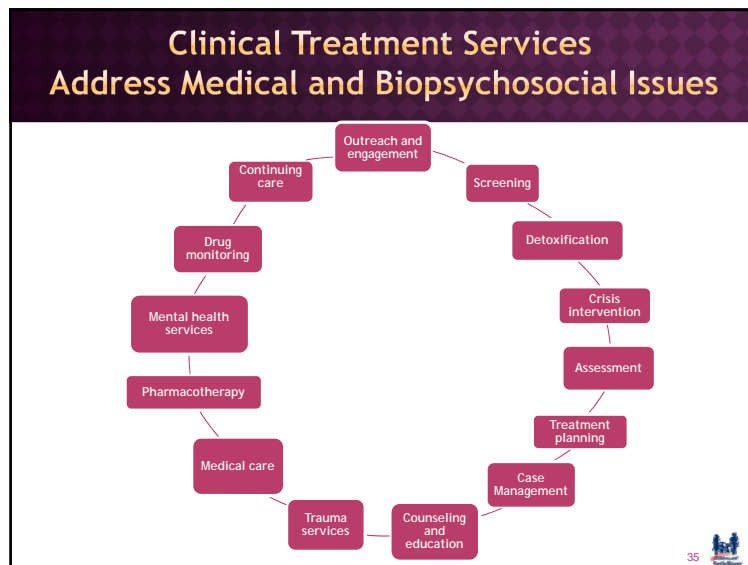| Women's Treatment With Family Involvement	Women's Treatment With Children Present	Women's and Children's Services	Family Services	Family-Centered Treatment
Services for women with substance use disorders. Treatment plan includes family issues, family involvement	Children accompany women to treatment. Children participate in child care but receive no therapeutic services. Only women have treatment plans	Children accompany women to treatment. Women and attending children have treatment plans and receive appropriate services.	Children accompany women to treatment: women and children have treatment plans. Some services provided to other family members	Each family member has a treatment plan and receives individual and family services.
Goal: improved outcomes for women	Goal: improved outcomes for women	Goals: improved outcomes for women and children, better parenting	Goals: improved outcomes for women and children, better parenting	Goals: improved outcomes for women, children, other family members, and extended kin; better parenting and family functioning

Inter-related Components

Community Support

Community Support

Clinical Support

Clinical Treatment

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Clinical Treatment Services
Address Medical and Biopsychosocial Issues

Outreach and engagement · Screening · Detoxification · Crisis intervention · Assessment · Treatment planning · Case Management · Counseling and education · Trauma services · Medical care · Pharmacotherapy · Mental health services · Drug monitoring · Continuing care

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Clinical Support Services
Support Recovery and Maintenance

Life skills · Parenting and child development education · Recovery community support services · Outreach and engagement · Continuing care · Screening · Drug monitoring · Detoxification · Family programs · Advocacy · Mental health services · Crisis intervention · Assessment · Treatment planning · Pharmacotherapy · Medical care · Case Management · Educational remediation and support · Housing support · Trauma services · Counseling and education · Employment readiness services · Linkages with legal and child welfare systems

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Community Support Services
Support Recovery and Maintenance

Faith-based Organizations · Housing · Life skills · Parenting and child development education · Recovery community support services · Family-strengthening Services · Continuing care · Outreach and engagement · Screening · Vocational & Education Services · Drug monitoring · Detoxification · Child Care · Advocacy · Mental health services · Crisis intervention · Family programs · Pharmaco therapy · Assessment · Workplace Prevention · Medical care · Treatment planning · Housing support · Trauma services · Counseling and education · Case Management · Educational remediation and support · Transportation · Recovery Support · Linkages with legal and child welfare systems · Employment readiness services · TANF Linkages

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Culturally Responsive Clinical Services
Support Recovery and Maintenance

Self Determination · Extended Family · Spiritual Teachings · Outreach and engagement · Continuing care · Screening · Drug monitoring · Detoxification · Tribal Teachings on Health and Well-Being · Culture · Mental health services · Crisis intervention · Assessment · Pharmacotherapy · Treatment planning · Structural Interventions · Language · Medical care · Case Management · Trauma services · Counseling and education · Non-Discrimination · Holistic Approaches

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Children's Clinical Treatment Services

Screening · Intake · Therapeutic child care and development · Assessment · Mental health and trauma services · Medical care and services · Substance abuse education and prevention · Residential care (in residential settings) · Case planning · Case management

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Children's Clinical Support Services

Child Care · Recovery community support services · Mental health and remediation services · Screening · ic child care and developm · Intake · Mental health and trauma services · Assessment · Advocacy · abuse education and · Medical care and services · Prevention services · Case planning · Residential care (in residential settings) · Case management · Educational services · Recreational services

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Working with the Community and Supporting Families

- Recovery management and recovery community services such as self-help, recreational activities, and drop-in supportive centers
- Mutual aid and peer supports
- Family strengthening through neighborhood-based parenting supports
- Child care including temporary respite care
- Faith-based organization support

Working with the Community and Supporting Families

- Organizations and Statewide Systems that Offer Community and Supportive Services to Families Involved in the Child Welfare System
  - Kentucky Sobriety Treatment and Recovery Teams (START) Model
  - Parent Partner Network
  - Family Involvement Team (FIT) for Recovery
  - Riverside County United Methodist Women

Working with the Community and Supporting Families

- *Family-Centered Treatment for Women with Substance Use Disorders—History, Key Elements and Challenges*
- Recovery-Oriented Systems of Care
- Integrating Child Welfare, Substance Abuse, Judicial and Legal Services to Support Families (Texas)
- Gearing Up to Improve Outcomes for Families (New York)
- Alcoholics Anonymous

Working with Related Agencies

- Develop an inventory of the available services that are in the local area and plot these resources on a map.
- Identify the needs of the families that partners serve.
- Talk to potential collaborators to uncover potential conflicts.
- Develop working agreements or MOUs
- Determine each collaborating agency's criteria for accepting clients.
- Establish an agreement regarding the flow of information and feedback between the agencies

Working with Related Agencies

- OnTrack, Inc.
- Center Point, Inc. FamilyLink Program
- One Hope United
- Safe4Kids

Connect Systems for Collaboration
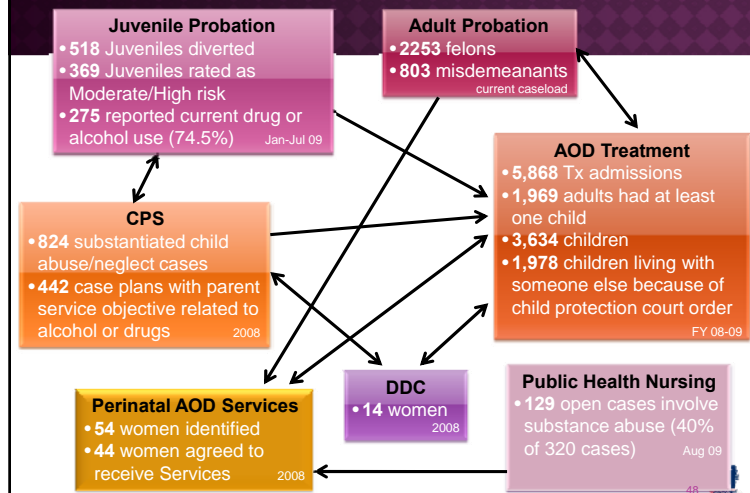
data systems and information sharing

Effective Communication and Information Systems

- Identify the content, methods, roles, and responsibilities in cross-system communication protocols
- Link information system databases ton track progress of children and their parents and determine whether families achieved goals
- Confidentiality barriers

Multiple Systems, Partners and Opportunities

Juvenile Probation
- 518 Juveniles diverted
- 369 Juveniles rated as Moderate/High risk
- 275 reported current drug or alcohol use (74.5%) Jan-Jul 09

Adult Probation
- 2253 felons
- 803 misdemeanants current caseload

AOD Treatment
- 5,868 Tx admissions
- 1,969 adults had at least one child
- 3,634 children
- 1,978 children living with someone else because of child protection court order FY 08-09

CPS
- 824 substantiated child abuse/neglect cases
- 442 case plans with parent service objective related to alcohol or drugs 2008

Perinatal AOD Services
- 54 women identified
- 44 women agreed to receive Services 2008

DDC
- 14 women 2008

Public Health Nursing
- 129 open cases involve substance abuse (40% of 320 cases) Aug 09

Effective Communication and Information Systems

- The Kentucky Sobriety Treatment and Recovery Teams (START) Program
- Sacramento County Dependency Drug Court

Effective Communication and Information Systems

- *Introduction to Cross-System Data Sources in Child Welfare, Alcohol and Other Drug Services, and Courts*
- Webinars
  - Connecting the Dots: How States and Counties Have Used Existing Data Systems to Create Cross System Data Linkages
  - Propensity Score Matching Strategies for Evaluating Substance Abuse Services for Child Welfare Clients
- MOUs on Information Systems and Data Sharing
- SAFERR Pathways of Communication Templates
- Substance Abuse/Child Welfare Systems Data Profile Worksheet

Connect Systems for Collaboration

budget and sustainability

Budgeting and Program Sustainability

- Effectiveness of what the partners want to sustain
- A marketing plan
- The total resources that the partners need to sustain the innovation and what can be leveraged from other sources
- The political and community resources needed to sustain the innovation, proving that it has support in the community that extends beyond the sponsoring agencies
- The innovation's institutionalization throughout the organization(s)

Budgeting and Program Sustainability

- Denver EFFECT—Entire Family-Focused Effective Comprehensive Treatment
- Project Connect
- NPC Research
- Kentucky Department for Community-Based Services (DCBS)

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Budgeting and Program Sustainability

- *Funding Family-Centered Treatment for Women With Substance Use Disorders*

- *White Paper on Funding Comprehensive Services for Families with Substance Use Disorders in Child Welfare and Dependency Courts*

- Discussion Guide and Matrix for the Sustainability of Programs for Children and Families

- Cost Rationale and Cost Analysis Discussion Guide

- Funding Source Template

- Marketing Your Program: Creating the Sales Document

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Connect Systems for Collaboration

training and staff development

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Training and Staff Development

- Understanding Substance Abuse and Facilitating Recovery: A Guide for Child Welfare Workers (tutorial)

- Understanding Child Welfare and the Dependency Court: A Guide for Substance Abuse Treatment Professionals (tutorial)

- Understanding Substance Use Disorders, Treatment and Family Recovery: A Guide for Legal Professionals (tutorial)

- Participant workbooks and supervisor handbooks developed by the State of Utah

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Online Training

> I have completed the tutorial training, and utilized the information to help educate new child protective service workers. The information is very useful, understandable, and very specific to the issues and concerns that child welfare workers will encounter, and how these should be handled.
>
> -Direct Service Provider

▪Available at no charge at http://ncsacw.samhsa.gov

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Implementing Online Tutorials

▪Available for free PDF download at http://ncsacw.samhsa.gov

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Training and Related Products

- On-Line Training
  - Available at no cost
  - Upon completion of the tutorial:
    - Certificate awarded
    - CEUs/CLEs are available
- Visit http://ncsacw.samhsa.gov

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Training and Staff Development

- *Understanding Substance Abuse and Facilitating Recovery: A Guide for Child Welfare Workers*
- Helping Child Welfare Professionals Support Families with Substance Use, Mental and Co-Occurring Disorders Toolkit
- A Cross-System Training Plan
- Family Drug Court Learning Academy Series

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Achieve Positive Outcomes for Children and Families

shared outcomes and **joint** accountability

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Joint Accountability and Shared Outcomes

- *Substance abuse outcomes for parents involved in the child welfare system:* access to treatment, retention in treatment, positive discharge from treatment, reduction in substance use, change in employment, and change in arrests.
- *Child welfare outcomes for parents with a substance use disorder:* children remaining at home, occurrence of maltreatment, length of stay in foster care, re-entries into foster care, and timeliness of reunification or permanency.
- *Other important outcomes:* child well-being, adult mental health status including reduction in trauma symptoms, school attendance, parenting skills, family functioning, risk or protective factors, and children and parents connected to supportive or ancillary services.

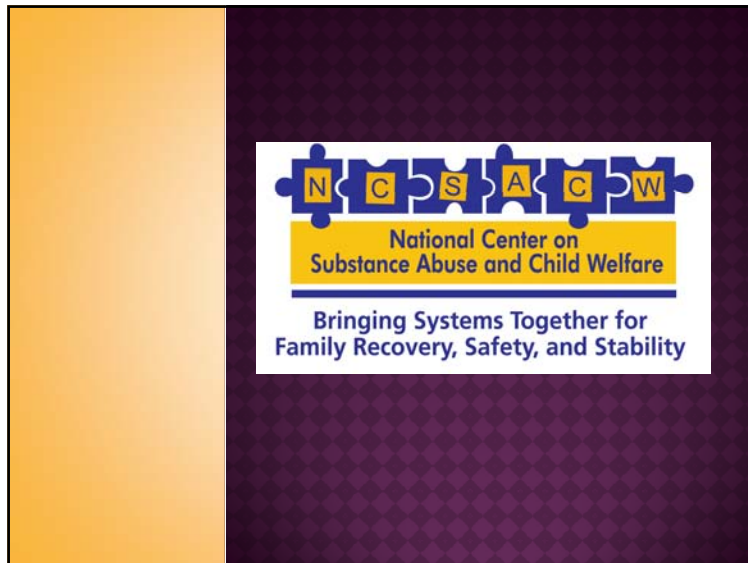62

Joint Accountability and Shared Outcomes

- Arizona Families F.I.R.S.T. (Families in Recovery Succeeding Together)
- New Jersey
- Regional Partnership Grants
- Sacramento Dependency Drug Court

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Joint Accountability and Shared Outcomes

- Regional Partnership Grantees Data Analysis Logic Model

- Regional Partnership Grantee Performance Measurement and Data System Data Dictionary

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National Center on Substance Abuse and Child Welfare

Bringing Systems Together for
Family Recovery, Safety, and Stability

National Center on Substance Abuse and Child Welfare

Bringing Systems Together for
Family Recovery, Safety, and Stability

Developing knowledge and
providing technical assistance to
federal, state, local agencies and tribes to
improve outcomes for families with
substance use disorders in the
child welfare and family court systems

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National Center on Substance Abuse and Child Welfare

Bringing Systems Together for
Family Recovery, Safety, and Stability

A Program of the
Substance Abuse and Mental Health
Services Administration
Center for Substance Abuse Treatment
and the
Administration on Children, Youth and Families
Children's Bureau
Office on Child Abuse and Neglect

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

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NCSACW Goals

- To improve outcomes for families by promoting effective practice, and organizational and system changes at the local, state, and national levels

- To develop and implement a comprehensive program of information gathering and dissemination

- To provide technical assistance

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Technical Assistance

Objectives

- To share knowledge across systems the three systems: substance abuse, child welfare, dependency court
- To promote understanding of cross systems issues and to advance cross-system collaboration
- To increase awareness and adoption of cross systems approaches
- To facilitate communication across systems
- To improve outcomes for children and adults

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Technical Assistance

- Collaboration practice and policy tools
- Information and sharing of models
- Expert consultation and research
- Development of issue-specific products
  - Monographs, white papers, fact sheets
- Training resources
  - On-line courses, training materials
- Longer-term strategic planning and development of protocols and practice models

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How Do I Access Technical Assistance?

- Visit the NCSACW website for resources and products at http://ncsacw.samhsa.gov
- Email us at ncsacw@cffutures.org

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QUESTIONS AND DISCUSSION