Reducing the Risk of Alcohol Exposed
Pregnancies Through Fetal Alcohol
Spectrum Disorders (FASD) Prevention and
Intervention Strategies

21st Oklahoma Conference on Child Abuse and Neglect in collaboration with the 10th National Alliance for Drug Endangered Children Conference Oklahoma City OK November 18, 2013

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### Fetal Alcohol Spectrum Disorders (FASD)

- Umbrella term describing the range of effects that can occur in an individual whose mother drank alcohol during pregnancy
- May include physical, mental, behavioral, and/or learning disabilities with possible lifelong implications
- Not a diagnosis



- FASD are birth defects that is 100% preventable
- Prenatal alcohol exposure leading to an FASD causes permanent brain damage with lifelong implications
- The brain damage results in behavioral issues that appear willful
- Typical interventions are not effective if the brain processing issues are not taken into account
- FASD are recognized as the leading known cause of mental retardation

- Caused by the effects of alcohol on the developing fetus
- Alcohol is a teratogen
  - A substance that might interfere with the normal development of a fetus
- According to the Institute of Medicine, of all drugs of abuse, alcohol causes the most serious neurobehavioral effects on the fetus
- Alcohol is used more frequently than any other drug of abuse and is often used in combination with other drugs of abuse

- Women do not set out to harm their children
- Not a new disorder
- Fetal alcohol spectrum disorders can occur in any community where women drink
- Most women do not know when they become pregnant
- The only proven safe amount of alcohol to drink during pregnancy is none

- Alcohol has been found in breast milk
- There are mixed reports about how long it stays in breast milk
- The idea that drinking (especially beer) during breast feeding increases the expression of breast milk is not accurate
- The brain continues to develop well after birth
  - Alcohol affects brain development
- It is very costly to raise a person with an FASD

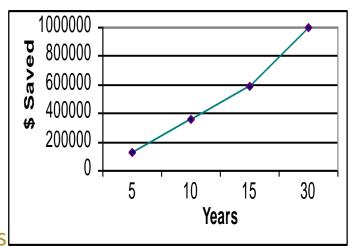
### **Economic Costs of FAS**

- FAS alone cost the United States more than \$4 billion in 1998.
- The average lifetime cost for each child with FAS is \$2 million.
  - \$1.6 million for medical care services
  - \$0.4 million for loss of productivity



#### **Economic Costs of FAS**

- One prevented case of FAS saves:
  - \$130,000 in the first 5
  - \$360,000 in 10 years
  - \$587,000 in 15 years
  - More than \$1 million in 30 years



Increased savings through prevention

### **Drinking Patterns in Youth**

- 81% of youth have tried alcohol by grade 12 (National Center on Addiction and Substance Abuse: CASA)
- 29.9% of students had more than 5 drinks on more than 1 occasion in the past 30 days (Youth Risk Behavior Surveillance Survey: YRBSS)
- 46% of all high school students in the U.S. have had sexual intercourse (YRBSS)
- 23% of sexually active youth report having unprotected sex as a result of alcohol or drug use (CASA)

### **Drinking Patterns in Youth**

- Teens tend to drink larger amounts of alcohol per sitting (CASA)
- Adolescents who drink are more likely to binge drink in early pregnancy compared to adults (Cornelius et al. 1997)
- Teenagers tend to recognize their pregnancies later than adults (Cornelius et al. 1997)
- In 2001, 455,158 women under age 20 gave birth (Child Trends September 2002)

### Alcohol and Women

- All beverages with alcohol are harmful to the fetus.
   It does not matter what form the alcohol comes in
  - E.g., wine spritzers, alcohol pops, beer, wine, mixed drinks
- A drink ≠ a drink ≠ a drink
  - All beer and wine do not have the same alcohol content
  - a typical drink is often more than a standard drink
- Kaskutas and Graves (2001) studied alcohol consumption in 321 pregnant women
  - When self selecting drinks, their estimated drink size was up to 307% greater than standard measures

## Who is at Risk of Giving Birth to a Child with an FASD?

- Women with co-occurring disorders
- Families with a history of multigenerational alcohol use
- Women who have experienced stressors that increase the risk of alcohol use or abuse
- Women who have an FASD
- Women who have given birth to a child with an FASD
  - Highest risk group
- All women of childbearing age who consume any alcohol

### Prevention Is the Only Solution

- Ask all women of childbearing age about alcohol use:
  - Ask routinely at every medical appointment.
  - Ask at appointments in various systems.
  - Ask in a nonjudgmental manner.
  - Use effective screening tools.
  - Ask about possible prenatal exposure.



Photo courtesy of Microsoft.

### Talk About Alcohol Use

- Talk about the effects of alcohol on an individual and on a fetus:
  - Begin at an early age, such as elementary school
  - Indicate that stopping drinking at any time during pregnancy will help the fetus



Convey the message: "Stop and think. If you are or might be pregnant, don't drink."

### Who Needs To Know

Women of childbearing age?

Women who have a history of alcohol or

other drug use?

Women who are at risk?

- Teenagers?
- Men?
- EVERYONE!

## Alcohol Screening Fleming (1997)

- The rate of alcohol screening in health care settings is less than 50%
- In a study of a general medical clinic, only 20% of patients reported that they had been asked about their alcohol use in the previous 6 months
- Of 110 patients who met DSM criteria for alcohol abuse or dependence in a study rural primary care, only 9 said that their physician talked to them about their drinking in the last 6 months

### Barriers to Alcohol Screening

Fleming (1997)

- Insufficient training in medical school and residency
- Shortage of role models
- Lack of performance feedback
- Inadequate standards of care
- Inflexible clinic systems

## Barriers to Alcohol Screening Dubovsky (2003)

- Discomfort talking about alcohol use
  - Inadequate training of practitioners
  - Don't know what to do with a positive response
  - Fear of losing patients
- Inadequate referral resources Concerns about labeling the person
- Concerns about disclosure influencing medical coverage

## Barriers to Alcohol Screening Dubovsky (2003)

- Too much else to cover in a visit
- Not enough time
- Not seeing alcohol use in their patient population as a problem
- Personal and/or family experiences with alcohol

## Strategies to Increase Screening Rates

- Adapted from Fleming (1997)
- Group education sessions
  - Onsite programs
  - Step-by-step, evidence based clinical protocols
  - Peer group discussion
  - Skills based role playing
    - Brief interventions
    - Screening tools
  - Credible experts delivering the training sessions
  - Change attitudes
    - Values clarification
  - Follow-up sessions

## Strategies to Increase Screening Rates Adapted from Fleming (1997)

- Education by respected colleagues
- Performance feedback
- Educational outreach to individual physicians
- Financial incentives or penalties
- Establish screening as a standard of care

## Evidence Based Practices in the Prevention of Alcohol Exposed Pregnancies

- Screening and Brief Intervention, Project CHOICES, and the Parent-Child Assistance Program are practices that have shown effectiveness in reducing the incidence of alcohol exposed pregnancies
- These practices can be integrated into existing systems of care
- Brief screening of women can be done in physician visits

### Screening and Brief Intervention

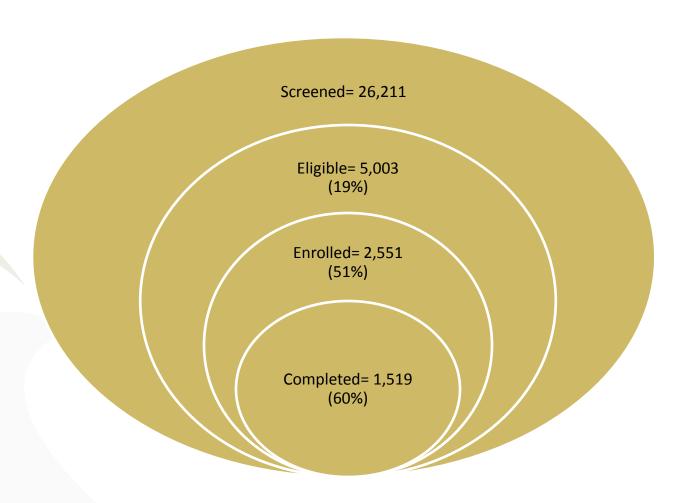
- For pregnant women
- Addresses consequences of drinking during pregnancy and benefits of stopping
- Reviews risky drinking situations and coping strategies
- Asks for a commitment to not drink in the next month
- For those not willing to stop drinking, discusses cutting down
- Can be implemented into existing programs

## Screening and Brief Intervention Program Developed at UCLA

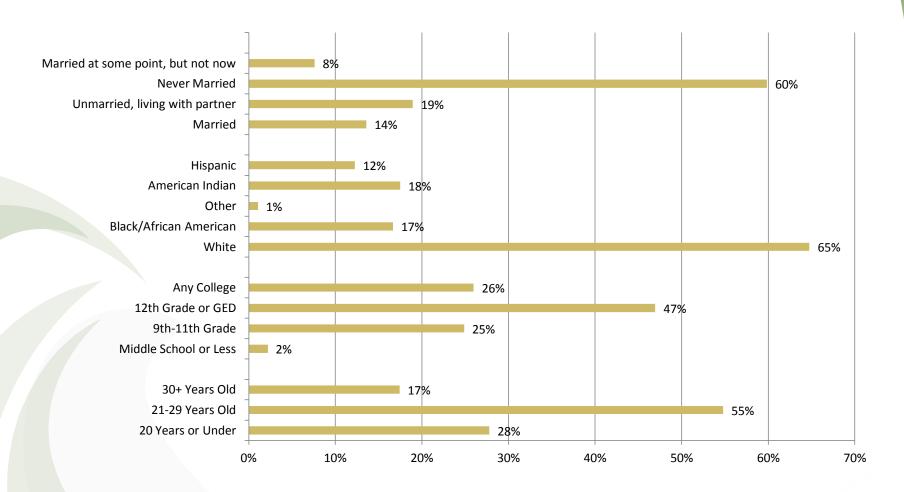
- Mary O'Connor and Shannon Whaley
- Randomized clinical trial of current drinkers (N=345) in WIC clinics in Southern California
- At 36 weeks of pregnancy, the control group was 5 times more likely to be drinking than intervention group
  - Assessment only control: 8.7% drinking
  - Brief intervention: 1.7% drinking
- 6.5% were referred to alcohol treatment program

# Findings from Implementation in WIC Clinics and Healthy Start Programs

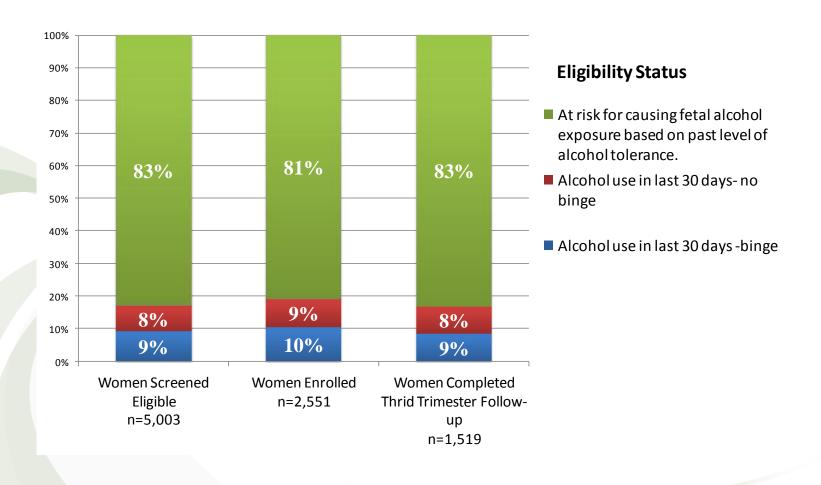
## SBI: Number of Women Screened, Eligible, Enrolled, and Completed



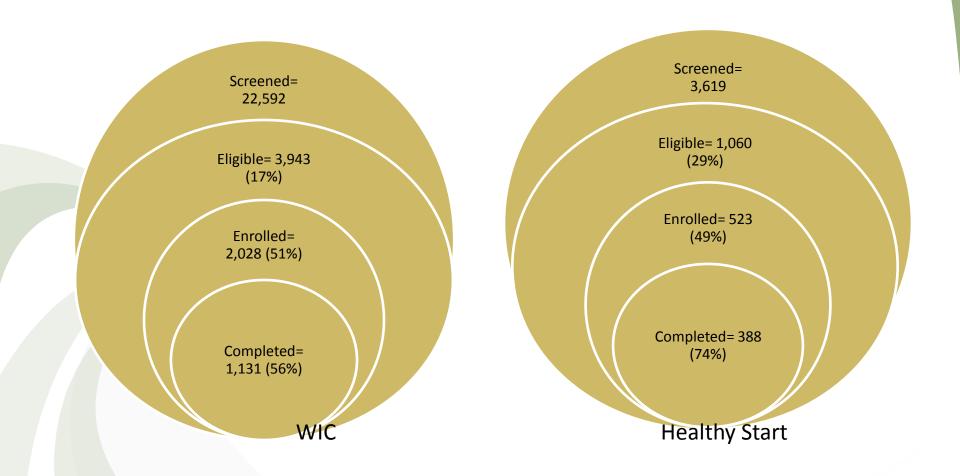
## SBI: Demographic Data for Eligible Women Receiving Initial Intervention



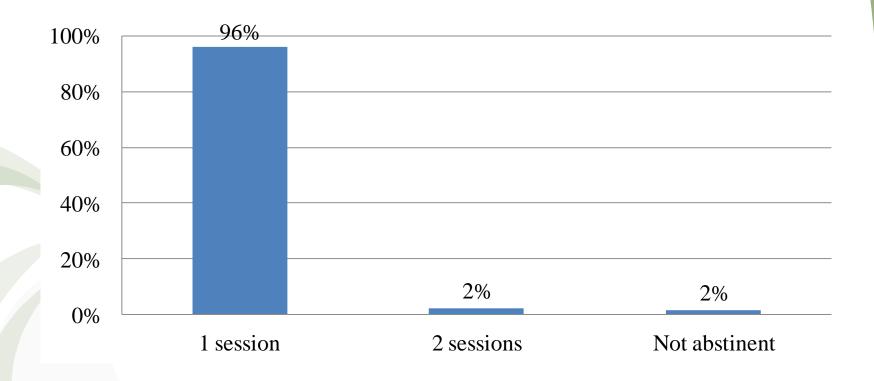
# SBI: Eligibility Status of Women who Screened Eligible, Enrolled, and Completed Third Trimester Follow-up



# SBI: Number of Women Screened, Enrolled, Eligible and Completed Third Trimester Follow-up for WIC and Healthy Start



## SBI: Achievement of Abstinence\* Through Third Trimester: *n* = 256



<sup>\*</sup>Among women who reported alcohol use at screening

### SBI: Summary of Outcomes

- Most women receiving the initial intervention screened eligible based on past level of alcohol tolerance (81%)
- Almost all women reported abstinence at third trimester follow-up (99.68% of women who were eligible based on past level alcohol tolerance and 98.44% of women who were eligible based on past 30-day alcohol use)
- For women who achieved abstinence, results were generally seen after one follow-up session
- Of women drinking alcohol at screening, 83% of those screened in the first trimester achieved abstinence during the first or second trimester

### **Project CHOICES**

- CDC intervention study for non-pregnant women
- Dual intervention focusing on reducing alcohol use and increasing consistent effective contraception for women of childbearing age at high risk for an alcohol exposed pregnancy
- Four motivational interviewing sessions and one visit to a family planning provider
- 67% of women either reduced their alcohol use, increased their use of effective contraception, or both
- Women with lowest baseline drinking were most successful primarily by using effective contraception and secondarily by reducing alcohol use
- Can be implemented into existing systems of care
- Needs to be modified for women with an FASD

# CHOICES Of 2,445 Women Screened, Number Eligible, Enrolled and Completing Program

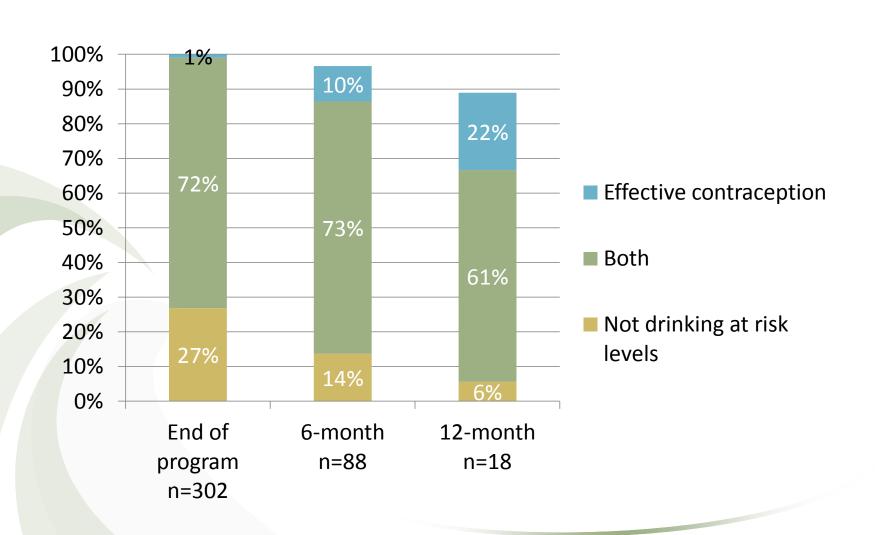


\*Completed program are eligible clients who participated in 4 MI sessions and 1 contraceptive visit and an end of program assessment

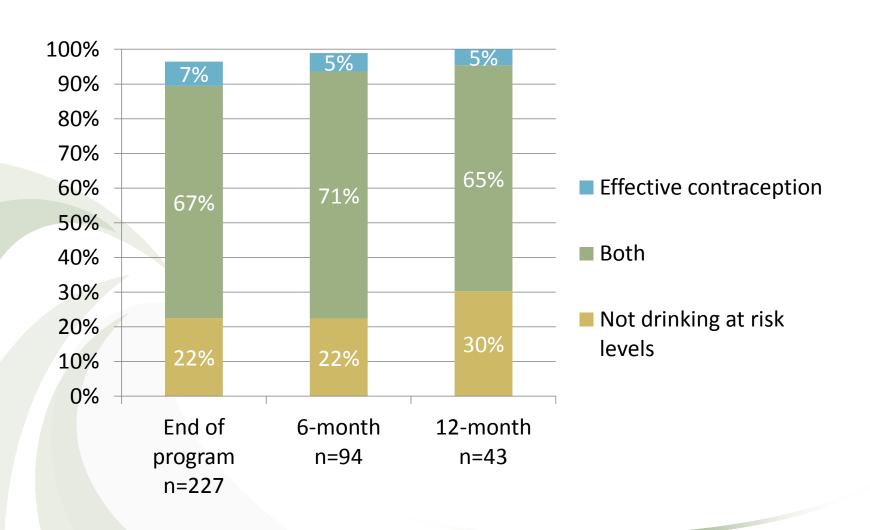
### Project CHOICES Program Impact

- At six months after end of program, of those who received the follow up:
  - 96.6 percent of women in residential programs
     were not at risk of an alcohol exposed pregnancy
     (N=88)
  - 99 percent of women in community-based programs were not at risk of an alcohol exposed pregnancy (N=94)

## CHOICES: Reduced Risk of Alcohol Exposed Pregnancy for Women in Residential Treatment



# CHOICES: Reduced Risk of Alcohol Exposed Pregnancy for Women in Community Settings



## Parent-Child Assistance Program (PCAP)

 36 month paraprofessional home visitation advocate model targeted to women at very high risk of an alcohol exposed pregnancy

#### Goals:

- Assist mothers in obtaining treatment, maintaining recovery, and resolving problems associated with substance abuse
- Guarantee that children are in a safe environment and receive appropriate health care
- Link families with community resources
- Demonstrate successful strategies for preventing future births of children affected by prenatal exposure

## Parent-Child Assistance Program (PCAP)

- Average caseload is 10-15
- Does not provide direct treatment
- Visit clients, transport clients and their children to appointments, and act as a broker and advocate with appropriate service providers
- Work within the context of the extended family, trace missing clients, and stay in touch with family
- Provide advocacy services for the child, regardless of custody
- No eject policy with relapse or setbacks

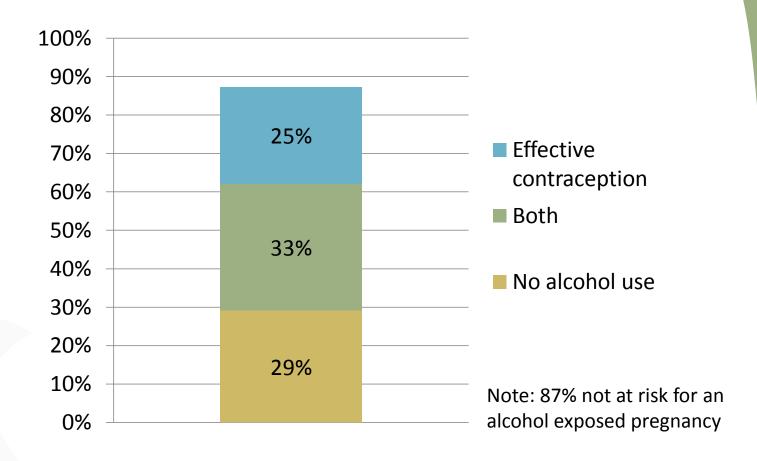
## Parent-Child Assistance Program (PCAP)

- Cost of PCAP averages \$5000-\$6000 per woman per year
- The cost of raising one individual with FAS has been determined by economists to be at least \$2 million over a lifetime
- Any program or intervention that reduces the incidence of an alcohol exposed pregnancy by even one and costs less than \$2 million should be recognized as a cost effective intervention
- Investment in those interventions that can reduce alcohol exposed pregnancies are all cost effective

## P-CAP Program Impact

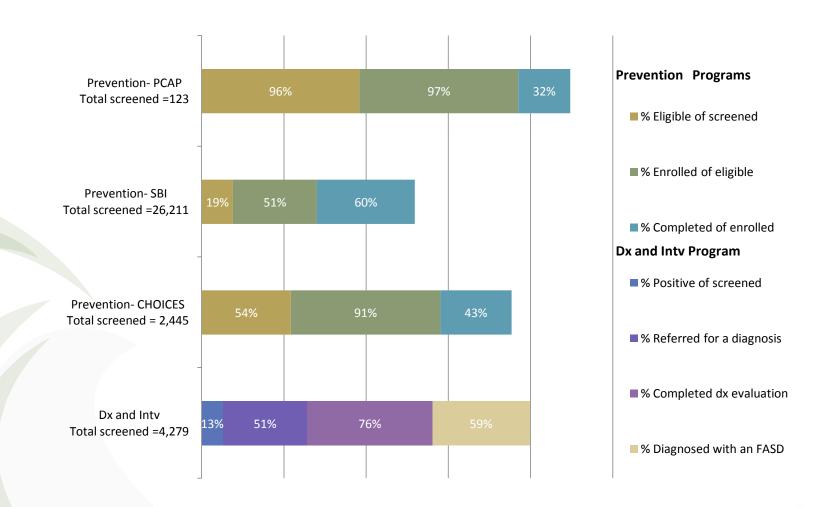
- All women had alcohol exposed pregnancies prior to baseline (N=99)
- 90 women were not at risk of an alcohol exposed pregnancy at their most recent bi-annual report
- 3 subsequent pregnancies were alcohol free
- 71% involved in treatment during P-CAP
- 50% were living in permanent housing at most recent biannual
- 65% of target children were living with biological family at most recent biannual
- 87% not at risk for an alcohol exposed pregnancy

## P-CAP: Risk of Alcohol Exposed Pregnancy At Most Recent Biannual n = 103



Note: Data for September 1, 2008 – March 31, 2012

## **Total Numbers Across Programs**



## Sustainability Outcomes After Funding

- Project CHOICES (6)
  - 1 full; 5 partial
- SBI (7)
  - 4 full; 3 partial
- P-CAP (2)
  - 1 full/1 partial

OVERALL – 100% sustaining at least partial components of the program

- Ask about alcohol use
  - Especially all women of child bearing age
  - Ask in a way that promotes honesty
  - Utilize screening tools shown to be effective with individuals seen
    - E.g., women who are pregnant
  - Ask about possible prenatal exposure
- Talk about alcohol use and its effects
  - Begin at an early age
    - A SAMHSA study found that the average age of first alcohol use for youth in systems of care is 11.6

- Talk about the effects of alcohol on an individual
- Talk about the effects of alcohol on a fetus
- Provide the individual with information
- Inform people that at any time during pregnancy, stopping drinking will be helpful for the fetus
- Convey the message:
  - If you are pregnant, thinking of getting pregnant, or have been in a situation where you might have gotten pregnant, don't drink

- Keep communication open
  - A study found that teenage women with specific knowledge about FAS drank less before pregnancy, during the first trimester, and were less likely to drink to intoxication
  - Most teenage girls who stopped or reduced drinking said it was due to concern for the baby

- Raise awareness in school
  - Ask their school to put up posters about drinking and pregnancy
  - Ask for information about FASD to be included in health class (there are programs that have been developed for Native communities)
  - Have an assembly to talk about the effects of alcohol on a person and on a baby
  - Start early
  - Set up a mentoring program
    - Help them get to class on time
    - Tutor them

- Raise awareness in the community
  - Ask for posters and materials about drinking during pregnancy to be posted at doctors' offices, treatment centers, community centers, grocery stores, liquor stores
  - Volunteer to help with an FAS Awareness Day program (September 9). Many states and communities have such programs. You can find out information about FAS Awareness Day at www.fasday.com
  - Help get the message across that focusing on this issue will help their community grow and flourish
    - Help others understand that it is not just that they are lazy

- Address issues for one's family and friends
  - Recognize that there is more of a risk of having unprotected sex if someone is drinking
  - Talk with others about the fact that many people don't know when they are first pregnant and those first weeks are very important to the development of the baby
  - Talk with your friends who are drinking and pregnant about what that could do to their baby and tell them you know they wouldn't harm their baby
  - Give them information about drinking and pregnancy, in a supportive way

- Address issues for one's family and friends
  - Have alcohol free parties
  - Offer to support family and friends to get the help that they need so they can
  - Recognize when someone might be having problems because of prenatal alcohol exposure
    - Provide them with ways to succeed
      - One thing to do at a time
      - A lot of repetition
      - —A lot of support
  - Have someone take responsibility for seeing that the person with a FASD gets to his/her appointments on time (for doctors, social events, etc.)

#### Resources

- SAMHSA FASD Center for Excellence: fasdcenter.samhsa.gov
- Centers for Disease Control and Prevention FAS Prevention Team: <u>www.cdc.gov/ncbddd/fas</u>
- National Institute on Alcohol Abuse and Alcoholism (NIAAA): www.niaaa.nih.gov/
- National Organization on Fetal Alcohol Syndrome (NOFAS): www.nofas.org
- National Clearinghouse for Alcohol and Drug Information: <u>ncadi.samhsa.gov</u>